# Ph.D. REGULATIONS (w.e.f. January 2017)

#### 1.1 ELIGIBILITY

- a) Candidates (Indian / Foreigner) who have studied under 10+2+3+2 years pattern or 10+2+5 years or 11+1+3+2 or 12+ 2+ 3+3 or 10+2+4+2 pattern of education and qualified for the award of the Master¢s Degree in relevant discipline in Gandhigram Rural Institute ó Deemed University (GRI-DU/GRI) or any other university recognized by the University Grants Commission in relevant discipline with not less than 55% marks (50% for SC/ST and part-time non-teacher candidates) or an equivalent grade are eligible.
- b) In addition, he / she should have qualified the entrance test conducted by GRI

#### OR

should have obtained M.Phil or qualified the UGC - JRF / NET / SLET / Lecturership / GATE / CSIR / ICAR / ICSSR or other fellowships or passed equivalent examinations.

#### 2.1 CATEGORIES OF Ph.D. CANDIDATES

There shall be three categories of Ph.D. candidates:

- i) Full-time candidates
- ii) Part-time candidates (Internal)
- iii) Part-time candidates (External)

#### 2.1 FULL-TIME Ph.D. CANDIDATES

#### 2.1.1. Admission will be done during August Session for full time candidates.

The candidates who pursue their full-time research for Ph.D. in GRI fall under this category. UGC / CSIR/ NET qualified Research Fellows and Project Fellows / research fellows working in GRI in projects sponsored by the UGC / CSIR / ICSSR / ICAR / DOE / DST / DBT / MOES / MOEN / MNRES and other national / international funding agencies and industries; candidates awarded any other recognized fellowships, and qualified technical staff working in projects with the duration of not less than two years shall also be eligible to register for Ph.D. under this category, with the due approval of the Principal Investigator.

#### 2.2.0. PART-TIME CANDIDATES (INTERNAL)

#### 2.2.1 Admission will be done during January Session for part-time candidates

- 2.2.2 Teachers and the non-teaching staff working in Gandhigram Rural Institute will fall under this category.
- 2.2.3 The teacher candidates should have two full academic years of experience in teaching or research at the time of registration.
- 2.2.4 The non-teaching candidates working in Gandhigram Rural Institute should have five years of experience at the time of registration.
- 2.2.5 The study leave conditions of UGC shall become applicable for the Part-time (internal) candidates.

#### 2.3. PART-TIME CANDIDATES (EXTERNAL)

- 2.3.1. Admission will be done during January session for part time candidates.
- 2.3.2 Persons working in Academic / Research Institutions / GovernmentDepartments in their relevant discipline will come under this category.
- 2.3.3 Such **in-service candidates** should have five years of **experience** at the time of applying for the Ph.D programme.

#### 2.4. RESIDENTIAL REQUIREMENT (Part-time)

2.4.1. The part-time external candidates are required to reside in GRI for a minimum of 180 days in a single spell or in different spells and the Research Supervisor will decide the residential requirement.

It could be in GRI, or in the organization of the Doctoral Committee Member or in outside agencies or in the field or in all the above mentioned institutions cumulatively.

2.4.2. The Research Supervisor, at the time of submission of synopsis by the candidate, shall furnish a certificate to the Controller of Examinations to the effect that the candidate has fulfilled the residential requirement of 180 days.

#### 3.0 INTERDISCIPLINARY RESEARCH

3.1. Admission to Ph.D. programme will be considered only in those faculties and departments which are in existence at GRI.

- 3.2. The candidates doing Ph.D under interdisciplinary research mode will have two supervisors: Research Supervisor and a Joint Research Supervisor both from GRI.
- 3.3. It is permitted to do Ph.D programme under interdisciplinary subject with the condition that the candidates should have the basic degree in the prime / concerned subject.

#### 4.0. PROCEDURE FOR APPLYING

- 4.1. Ph.D admission will be done through Online mode for both foreign and Indian candidates.
- 4.2 There shall be two sessions every year for Ph.D registration as hereunder:

Category	Session	Last Date
Full-time	August	Last working day of August
Part-time	January	Last working day of January

The department-wise / discipline-wise availability of seats will be notified in the website of GRI well in advance. However, the candidates who have passed UGC - JRF / NET / SLET / GATE / CSIR / ICAR / ICSSR / equivalent examinations or those who have been granted fellowships from recognized institutions and agencies will be admitted at any time of the year under full-time and part-time on producing the required evidence.

4.3 Candidates seeking admission to Ph.D. programme should fill the application form online referring to the instructions given by remitting the cost of the application through online.

#### 4.3.1 Admission for Foreign Scholars

**Foreign/NRI candidates** can download the application from GRI website. The filled-in application should be submitted on or before the prescribed date mentioned in 4.2 remitting the cost of application or in the form of DD in favour of the Registrar (Canara Bank, GRI Branch, Gandhigram( Code No.8500) or State Bank of India, Ambathurai (Code 3373).

Foreign nationals including NRIs must produce a valid research visa at the time of seeking admission to the Ph.D. programme. If admitted, they will be governed by

such rules and regulations as may be framed by the Government of India and by the authorities of GRI from time to time.

The foreign/NRI scholars will be admitted as full-time scholars through writing Online Entrance test and attending Skype / Video conferencing interview. No foreign scholar will be admitted under part-time category. The fee structure for such online examination and online interview will be followed as per the decision of the Fee Revision Committee.

# 4.3.1.(i) Online entrance test and interview will be conducted for the candidates from North and North Eastern besides NRI candidates

4.3.2. As and when need arises online entrance examinations will be conducted to the persons who applied from foreign countries and if necessary provisional orders will be sent through e-mail. This will be applicable during the January and August Sessions and not at any time.

Incomplete applications will be summarily rejected.

#### 5.0 ENTRANCE TEST, ADMISSION AND ALLOCATION

- 5.1 A Common Entrance Test will be conducted by the Controller of Examinations for the eligible candidates for a duration of 2 hours: One hour on Research Aptitude (General) and one hour on the Subject concerned. A minimum of 60% in each component is a must to become eligible for provisional admission. Question papers for Research Aptitude Test (General) and Subject Test will be set and evaluated by a Board consisting of the HOD and the Research Supervisors concerned.
- 5.2. Method of conduct of Entrance Test under online admission: 60 (sixty) objective type questions will have to be answered within one and a half hour time. The question paper consists of Research Aptitude (25%) and the subjects concerned (75%). It is applicable for Indian and foreign students.
- 5.3 There will be an interview for the candidates who passed the entrance test and the M.Phil holders in which the candidates shall discuss their research interest / area. The number of candidates to be admitted will depend on the vacancies available.
- 5.4 The allocation of the Supervisors for those candidates will be done by a committee of the respective departments headed by the HOD concerned and the Research Supervisors

under whom vacancies are available. Allotment will be done depending upon the number of vacancies available with the Research Supervisors, specialization of the supervisors, and the research interests of the candidates as indicated by the candidates during the interview. The allotment/allocation of supervisor (s) will not be left to the choice of the teachers or the candidates.

5.5 Admission will be made following the reservation policy by each department.

#### 6.1 COURSE WORK

It is mandatory that all Research Scholars (both full-time and part-time), after their provisional admission, must undergo the following three courses, each having one semester duration. However, these three courses can be done either in one or two or three semesters.

- I. Research Methodology (including Quantitative Methods and Computer Applications whenever applicable).
- II. Basic concepts and Theory in the Subject Area.
- III. Specific area of research.

Those who have got M.Phil degree will be exempted from Course Work No.1. Course work shall be done by the candidates by attending the regular classes in their departments/other departments in GRI or in the institution of the Doctoral Committee Member. If not possible by the part-time scholars, the courses designed by the Doctoral Committee may be completed by the scholars under self-study mode. Full time scholars are not eligible to do the course work under self-study mode. Each Department / Faculty of GRI must officially announce the possible courses that can be attended by the scholars from their departments and other departments 45 days ahead of each semester to the Registrar for circulation.

- 6.1. The course work of the Ph.D scholars is to be completed within the prescribed time specified in the Regulations (i.e. within 1½ years). If it is not done, their registration will stand cancelled. No representation will be entertained if it deviates from the Ph.D Regulations in force. The Doctoral Committee should be convened by the Guide/Supervisor as laid down in the regulations. Exemption can be obtained showing the evidence within the period of 1½ years.
- 6.2. The syllabus for the Course Work of and panel of examiners may be prepared by the espective faculties/departments/centres with the approval of the respective Board of Studies. Further, for course works II and III, the Doctoral Committee will prepare the syllabi and set the question papers.
- 6.3. The full-time candidates shall undergo compulsory course work for one year and two seminars and the performance in the course work and seminars will be evaluated by the Doctoral Committee concerned. In the case of part-time candidates, the course work shall be as prescribed by the Institute from time to time

6.4. The course work shall carry 24 credits which may include theory courses, seminars, assignments, topical research and other components as decided by the respective Board of Studies (BOS)

#### 7.0 DOCTORAL COMMITTEE

- 7.1 A Doctoral Committee (D.C.) shall be constituted separately for each candidate immediately after his / her provisional admission.
- 7.2 The Doctoral Committee shall comprise

a) Research Supervisor .. Chairperson & Convenor

b) Joint Research Supervisor .. Member and (wherever necessary from within)

the GRI)

c) An external expert from the .. Member

relevant discipline (to be suggested by the

Research Supervisor &

approved by the Vice-Chancellor)

- 7.3 The functions of the Doctoral Committee are to: (i) scrutinize the research proposal submitted by the candidate; (ii) frame syllabi within six months from the date of admission for the course work for all the three courses with 4 credits and 5 units each; (iii) prepare the question papers wherever necessary; (iv) approve the synopsis of the thesis; (v) prepare the panel of examiners for the evaluation of the thesis; and (vi) any other matter related to the candidate Ph.D work. The Convenor will convene the Doctoral Committee meetings with intimation to the Registrar.
- 7.4 The Doctoral Committee of each candidate shall meet at least twice during the entire period of the candidate øs work.
- 7.5 The above meeting can also be conducted through video conferencing or internet chat, with the prior approval of the Vice-Chancellor, if the candidate or the main Supervisor or the Joint-Supervisor is in a foreign country, or is unable to attend the Doctoral Committee meeting due to unforeseen circumstances.
- 7.6 Doctoral Committee can be conducted outside GRI also. And if it is conducted in the place of the doctoral committee member, TA/DA for the Research Supervisor will be borne by GRI, and the external member shall be paid sitting fee. TA/DA and sitting fee for external expert will be borne by GRI whenever the Doctoral Committee meeting is held at GRI.

- 7.7 The minutes of the meeting of the Doctoral Committee along with enclosures will be submitted to the Controller of Examinations and a copy of the covering letter (without minutes / enclosures) will be submitted to the Registrar.
- 7.8 The convenor of the Doctoral Committee will inform the Controller of Examinations about the date of the meeting of the Doctoral Committee well in advance.

#### 8.0 EXAMINATION

- 8.1 If the Research Scholars are attending the regular courses in their Departments or/and other department of GRI, they will write the examinations along with the regular students and COE will conduct the exams, declare the results and issue the mark sheet. Similarly, Ph.D Scholars who have undergone the course work in the Academic/Research institutions of the Doctoral Committee Members will write the examinations in those institutions which will declare the results and award marks. The COE will get the question papers set as per the pattern given in Annexure-II and get the answer scripts evaluated by the question paper setters and the Research Supervisor / course teacher. The passing minimum will be 50 marks but the average pass minimum is 60% (of both valuations). Grade Sheets will be issued by the Controller of Examinations.
- 8.2 Candidates with less than 60% in the examination(s) of the course work shall apply for the second appearance within six months from the first appearance. If a candidate does not pass the examination of the course work in the second appearance also, his/her registration will be cancelled.
- 8.3 Examinations for Course Work will be conducted after six months and before 1½ years for full-time and part-time scholars.

#### 9.0 ATTENDANCE

Full-time candidates shall regularly sign the attendance register maintained in the Departments concerned for the minimum prescribed period of research.

9.1 The progress report and attendance certificate shall be verified by the RQC periodically and report of the RQC shall be submitted to the Planning and Monitoring Board.

#### 10.0 RESEARCH QUALITY CIRCLE

Each Department shall have a Research Quality Circle (RQC) with the HOD as the Convener and the other Research Supervisors as members. The HOD shall facilitate research presentations by the full-time and part-time research scholars before the RQC, teachers, research scholars and students of the Department concerned at least twice a year.

#### 11.0 PROGRESS

- 11.1 All candidates shall submit six monthly Progress Reports of not exceeding 1000 words to the Registrar and the COE as per Annexure III on or before 30<sup>th</sup> June & 31<sup>st</sup> December along with the details of the presentations made before RQC and the attendance sheet showing the list of people (not less than 15) who attended his/her presentation. This must be duly countersigned by the Research Supervisor and the HOD. They will continue to do this until they submit their synopsis. This is applicable to part-time scholars also. Under special circumstances such presentations shall be arranged for part-time scholars through video conferencing. For presentations made through video conferencing, candidates must submit the six monthly progress report through their guides in advance for circulation and submission to COE / Registrar. This facility shall be extended to the full time scholars also in case they have gone abroad or to other long distance institutions in connection with their Ph.D work.
- 11.2 Full-time JRF / SRF Research Scholars of any funding agency may be assigned teaching work for a maximum of 4 hours per week in the Department / Faculty and they are not eligible for any honorarium.

#### 12.0 DURATION OF THE PROGRAMME

	with N	M.Phil	without M.Phil			
Category	Minimum (Yrs)	Maximum (Yrs)	Minimum Maximu (Yrs) (Yrs)			
Full-time	2	4	3	5		
Part-time	3	5	4	6		

#### 13.0 CONVERSION

- 13.1 Conversion from part-time to full-time and vice-versa shall be done at any time by producing authenticated documents.
- 13.2 If a candidate has already completed the minimum period as a full time or a part-time scholar, he / she need not undergo the process of any conversion.

#### 14.0 EXTENSION

- 14.1 Two spells of extension of six months each will be granted after the expiry of the maximum period as mentioned above (item 12.0) on the specific request (with justification) from the candidate and the recommendation of the Research Supervisor and on payment of the prescribed annual fee and extension fee as shown in Annexure-I.
- 14.2 The prescribed extension fee is to be paid besides the regular annual research fee as shown in Annexure-I. The candidate must have cleared all his / her dues to the university if his / her application for extension is to be processed.
- 14.3 In exceptional situations, on the requests of the candidates and on the recommendations of the Doctoral Committee, the Vice-Chancellor shall give three months extension beyond two extensions. The candidate shall have to remit the prescribed fees to GRI for this purpose. Beyond the special extension of three months given by the Vice-Chancellor no more extension shall be given.

#### 15.0 EARLY SUBMISSION

For a Research Scholar with at least four publications (based on his/her doctoral research work) in refereed journals / patents, the minimum period can be reckoned as follows:

i) Scholars with M.Phil. - (Full-time) 2 years to 1½ years
 ii) Scholars without M.Phil - (Full-time) 3 years to 2½ years
 iii) Scholars with M.Phil. - (Part-time) 3 years to 2½ years
 iv) Scholars without M.Phil - (Part-time) 4 years to 3½ years

The decision on the above will be on the recommendation of the Research Supervisor and at the discretion of the Vice-Chancellor.

#### 16.0 CANCELLATION OF REGISTRATION

- 16.1 A Research Scholar who fails to fulfill the RQC requirements and / or fails to submit his/ her thesis even after the maximum period mentioned above including special extension, if any his / her registration will be cancelled.
- 16.2 The registration granted to a candidate shall stand cancelled automatically in the event of non-payment of Annual Research Fee within 3 months from the due date. And in the event of non-submission of Half-yearly report by a candidate, the registration shall stand cancelled automatically. No request for condoning the delayed payment of fees and submission of report will be entertained from the candidates whose registration is cancelled automatically.

#### 17.0 RE-REGISTRATION

If a candidate has made significant progress in his / her work, but has not completed his / her thesis even after availing extensions, she / he will be allowed for reregistration on payment of prescribed fee with the same topic and on the recommendation of the Research Supervisor besides fulfilling RQC requirements with adequate published / documented work. Such candidates will be permitted to submit the thesis after 12 months and not later than 24 months from the date of reregistration. These re-registered candidates are not eligible for any time extension. Even after re-registration, if the candidate is not able to submit thesis within the prescribed time limit, his/her re-registration will be cancelled. He / she will not be admitted for Ph.D in GRI anymore.

#### 18.0 LEAVE

- 18.1 A full-time research scholar may avail leave to the maximum of one year during his / her research period within the maximum period fixed for the submission of the thesis. But he/ she is not eligible for leave during the period of extension.
- 18.2 However, a full-time research scholar may avail leave during the period of extension under extraordinary circumstances such as maternity and medical grounds. Such leave will be granted by the Vice-Chancellor only on the specific recommendation of the registered medical officer not below the rank of Assistant Civil Surgeon and the recommendation of the Research Supervisor and the HOD.

#### 19.0 RESEARCH SUPERVISOR

- 19.1 A Professor / Associate Professor / Assistant Professor of GRI will be recognized as a Research Supervisor, if he / she fulfills the following conditions:
  - a) Only the permanent teachers having Ph.D degree after declaration of probation will be recognized as Research Supervisor and
  - b) Evidence of research publications (a minimum of two articles in refereed journals after the Ph.D viva-voce).

The application for recognition should be submitted along with copies of supporting documents (CV, copy of the Ph.D degree certificate and reprints) to the Registrar through the HOD concerned and based on the expert opinion, the recognition will be given.

19.2 The Research Supervisors of GRI are not permitted to guide the research scholars of other Universities / Institutes and the other University faculty members are not permitted to guide GRI scholars for Ph.D degree.

19.3 Research Supervisors in the cadre of Professor are permitted to take a maximum of **Eight** candidates, **Six** for Associate Professors and **Four** for Assistants Professors (both Fulltime and Part-time) in GRI as per UGC Regulations. This is inclusive of JRF or Project Fellows and excluding number of post-doctoral fellows.

The credit for the Joint Research Supervisor is the same as that of the Research Supervisor. However, it shall not be counted for permissible number of candidates for Joint Supervisor.

- 19.4 Normally no change of Research Supervisor is permitted. However, in exceptional cases, it can be permitted.
- 19.5 If a Research Supervisor retires or takes up an assignment outside GRI on deputation/lien, the candidate will be permitted to continue his / her research under the same Research Supervisor OR he/she may be permitted to change his / her Research Supervisor if he/she desires so. But the date of registration, the area of research and the title of the study shall remain unaltered.
- 19.6 In case the Research Supervisor leaves GRI permanently, he/she cannot continue to guide any scholars in GRI.
- 19.7 Research Supervisors on lien/deputation to other institutions and those who have retired from GRI cannot enroll new candidates for Ph.D at GRI.

#### **20.0** FEE

- 20.1 This will be decided and notified by the authorized committee from time to time.
- Those who have not paid the research fee within the prescribed date shall have to remit the fee along with the prescribed **late fee** notified from time to time.
- 20.3 If a candidate has not paid the fee continuously for two years, his/her registration will be cancelled.

#### **21.0** TITLE

At the admission stage, a research scholar will give a tentative title. But the title mentioned in the synopsis will be final and cannot be altered. The title of the thesis should be compact and adequate enough reflecting the content of the research.

#### 22.0 SUBMISSION OF SYNOPSIS

22.1 Research Scholars of the Department should present their synopsis before the RQC and the Doctoral Committee members. Before submitting their synopsis they should incorporate the comments and feedback of the RQC as well as the Doctoral Committee.

The Research Supervisor will forward 11 copies of the synopsis duly approved by the

Doctoral Committee, along with the attendance certificate of synopsis submitted before the RQC to the COE, through the convenor of the RQC. A Minimum of 15 members should be present.

- 22.2 The research scholar must also submit a softcopy of the synopsis.
- 22.3 The synopsis should contain the title of the thesis, its objectives, methodology, structure and findings in 4 to 10 pages (Annexure óIV and xiii in Annexure-V)).
- 22.4 The Ph.D thesis must be submitted within six months from the date of the submission of the synopsis.

#### 23.0 THESIS

- 23.1 Candidates should have published at least two research papers in refereed journals / books / edited volumes with ISBN and produce the evidence in the form of reprints or acceptance letters to the COE before the submission of the thesis.
- 23.2 The thesis shall not exceed 300 pages excluding the bibliography, annexures, etc. The thesis should be prepared in accordance with format (Annexure-V).
- 23.3 Five copies of the thesis along with a softcopy (as per Annexure -V) shall be submitted.

  The research supervisor shall forward the copies of the thesis to the Controller of Examinations through the HOD concerned with intimation to the Registrar.
- 23.4 The title and the certificate for Ph.D theses in Tamil, Hindi and Malayalam shall be given in the respective languages.
- 23.5 Along with the thesis, an abstract of the thesis not exceeding 200 words must also be submitted.

#### 24.0 PANEL OF EXAMINERS AND EVALUATION OF THESIS

The Doctoral Committee shall provide a panel of 10 examiners, 5 from India (outside Tamil Nadu and also not belonging to the candidate@s state) or 5 from other countries/other states who will be the specialist in the subject in which the candidate has submitted the thesis. While submitting the panel of examiners, the Doctoral Committee has to submit a one page CV highlighting the specialization and recent contributions of the panel of examiners.

- 24.2 The thesis will be evaluated by three examiners selected from the above panel. One shall be from abroad and of the remaining two, one must be from outside

  Tamil Nadu and at the same time not belonging to the State to which the candidate belongs. The Research Supervisor will be the third examiner and shall consolidate the examinersøevaluation reports.
- 24.3 Wherever it is not possible to find a suitable examiner outside the country for some specialized topics, another examiner within the country, but outside the state and also not belonging to the state of the scholar, may be considered for appointment.
- 24.4 The examiners will adjudicate the thesis and send their evaluation reports to the Controller of Examinations by selecting any one of the following options I to V:
  - I. The Thesis can be accepted for the award of the Ph.D degree in the present form.
  - II. a) The thesis can be accepted for the award subject to the incorporation of the minor corrections indicated in my report. (OR)
    - b) The candidate satisfactory clarifications at the time of viva-voce.
  - III. The thesis must be revised, as suggested in my report and the revised thesis may be referred to the supervisor for verification.
  - IV. The thesis must be revised as suggested in my report and the revised thesis must be resubmitted to me for evaluation again.
  - V. The Thesis cannot be accepted for the award of the degree and rejected.
- 24.5 The thesis will be accepted for the award of Ph.D degree if all the three examiners accept it. If one of the examiners rejects the thesis, it will be sent to the fourth Examiner of the same category and the decision of the fourth Examiner will be final.
- 24.6 If a revision of the thesis is sought, the candidate shall revise and resubmit it within a period of 3 months from the date of receipt of the communication from COE.
- 24.7 The thesis will be sent for revaluation only to those examiner(s) who suggested revision as per item IV in 24.4.
- 24.8 If revision is suggested for the second time as per item IV in 24.4 then the thesis, along with the reports of the examiner, will be placed before the Doctoral Committee. If the Doctoral Committee, after going through the reports and the thesis, endorses a second

revision, the thesis will be revised again by the candidate and will be referred to the same examiner for re-evaluation.

If the Doctoral Committee does not endorse the second revision, the thesis without further revision will be referred to the fourth Examiner of the same category without the report of the examiner who has suggested for second revision. The decision of the fourth examiner will be final.

- 24.9 If the revised thesis is rejected, it will be rejected once for all.
- 24.10 The examiners are expected to send their evaluation report within 60 days. At the end of 30 days and 45 days reminders will be sent to the examiners by the COE.

  A final reminder will be sent on 60<sup>th</sup> day and, in the event of no response within 2 weeks after the third reminder, alternative arrangements shall be made.

#### 25.0 VIVA-VOCE

- 25.1 A candidate shall take the viva-voce examination only when the thesis has been accepted by all the three examiners. The Viva-Voce Board shall consist of the Research Supervisor and one of the adjudicators (Indian Examiner). The Research Supervisor shall be the Chairperson of the Viva-Voce Board.
- 25.2 The viva-voce must be conducted by the Convenor within 60 days from the date of receipt of all the three reports from the COE.
- 25.3 The Viva-Voce Board **can recommend** the award of the degree based on the candidate¢s defence of his / her thesis.
- 25.4 At least fifteen persons should attend the viva-voce.
- 25.5. In case, a candidate could not defend his/her thesis successfully in the Viva-voce board in the first appearance, the board may recommend for reappearance within 30 days.
- 25.6 A candidate who is not successful in the second viva-voce also, his/her thesis will be rejected.
- 25.7 Video conferencing facilities may be permitted in special cases.
- 25.8 Viva-Voce board should recommend the publications of the thesis either eligible or not and indicate conditions if any.

#### 26.0 AWARD OF DEGREE

26.1 The recommendations of the Viva-Voce Board will be placed before the Board of Management (BoM) for approval. However, COE shall declare the result with the

approval of the Vice-Chancellor and issue the provisional certificate with effect from viva-voce date.

- 26.2 The title of the Ph.D thesis alone will be mentioned in the provisional / degree certificate and neither the nomenclature of the Department nor the Faculty will find a place. However, a testimonial regarding the Department and Faculty, subject of research and field of specialization/discipline, shall be issued separately by the Controller of Examinations on request, based on the recommendations of the Head of the Department and the Research Supervisor concerned.
- A softcopy of the thesis incorporating all the corrections / suggestions recommended at the time of viva-voce, if any, must be submitted to the Controller of Examinations within 15 days after the viva-voce.

#### 27.0 PUBLICATION OF THESIS / FINDINGS

The thesis, once submitted, becomes the property of GRI. In case the research comes under the õclassifiedö category, it shall not be published without the approval of the Board of Management. The Board of Management may grant permission for publishing the results / findings on getting appropriate recommendation from the Vice-Chancellor. Two copies of the thesis in its published form must be submitted to the office of the Registrar.

#### 28.0 Solving the Problems

In the event of any unforeseen problems/issues, the Vice-Chancellor

decision will be final.

#### Note:

Notwithstanding anything contained in the above pages as rules and regulations governing the Doctoral programmes at Gandhigram Rural Institute, the Board of Management is vested with the powers to revise them from time to time on the recommendations of the Research Advisory Committee through the Academic Council.

Annexure I

#### 1. FEE DETAILS

		Cost of Applications			
Sl.No.	Programme	SC/ST*	Other categories		
1.	Ph.D.				
	a) in online	Free of cost	Rs.1,000/-		
	b) Foreigners				
	i) SAARC & Developing countries		Rs.1,000/-		
	ii) other countries		100 US \$		

<sup>\*</sup> on producing the number mentioned in the community certificates.

The fees for the research scholars from SAARC and developing countries will be the same as that of the Indian candidates. However, Research Scholars from other foreign countries have to pay four times all the fees except caution deposit levied for Indian candidates in US \$.

# Annexure-I(1)

# a) Fee Structure for Ph.D. programmes:

	Aı	rts	Scie	ence	
Break-up	Full-time			Part-time	
	(in Rs.)	(in Rs.)	(in Rs.)	(in Rs.)	
Per annum					
Research fee	5000	10000	5000	10000	
Laboratory fee			2500	2500	
Library fee	500	500	500	500	
Computer fee	500	500	500	500	
Health care fee	100	100	100	100	
Group Health Insurance	200		200		
Calendar fee	75	75	75	75	
Subscription fee	500	500	500	500	
One-time payment					
SMART Card	100	100	100	100	
General caution deposit	2000	5000	2000	5000	
Lab caution deposit			2000	2000	
Library caution deposit	500	500	500	500	
Co-op stores share capital	30		30		
Other fee					
Re-registration fee	7500	15000	7500	15000	
Thesis Submission fee	2000	5000	10000	5000	
Evaluation and Postal expenses	actuals	actuals	actuals	actuals	

#### Annexure-I(2)

#### b) Extension Fee

First Extension Fee (upto 6 months) : Rs.5,000/Second Extension Fee (7<sup>th</sup> month to 12<sup>th</sup> month) : Rs.10,000/Special Extension fee upto 3 months : Rs.15,000/-

c) Fee for Course Work Examination (for each paper): Rs.1000/-

#### 2. Late Fee

a) From those who do not pay the Research Fee within the prescribed time, the following period-wise fine will be collected:

i) Upto one month : Rs.500/-

ii) Upto three months: Rs.2000/-

b) Late fee for delayed submission of thesis : Rs.5000/-

(beyond 6 months after the submission of Synopsis but before 9 (nine) months or within the permissible research period whichever is earlier)

If any Research Scholar does not pay the Research Fee even beyond **three months**, his / her Ph.D registration shall stand automatically cancelled and this will be intimated to the candidate through the HOD and the Research Supervisor.

The fee may be paid through online using Debit Card or by Demand Draft in favour of the Registrar, Gandhigram Rural Institute, Gandhigram, payable at Canara Bank, Gandhigram (8500) or State Bank of India, Ambathurai (3373).

Annexure II

### Question Paper Pattern for Course Work of Ph.D.

		QUESTION CODE SEMESTER / NON-SEMESTER Title of the Programme ( Ph.D. (Subjective Title of the Course (Name of the Ph.D. Scholar)	ect)
Tim	e: 3 Hours	Answer ALL Questions	Maximum: 100 Marks $5 \times 20 = 100$
I	A. B.	(OR)	5 N <b>2</b> 5 255
II	A. B.	(OR)	
III	A.	(OR)	
IV	В.	(OR)	
V	B. A.	(OD)	
	В.	(OR)	
		***	

Note: Each Question may contain any no. of subdivisions with the specification of mark distribution for each subdivision.

Annexure III

# THE GANDHIGRAM RURAL INSTITUTE – DEEMED UNIVERSITY GANDHIGRAM

	Six Monthly Progress Report (to)
1.0	Name of the Candidate :
2.0	Date of Registration :
3.0	Name of the Research Supervisor :
4.0	Name of the Joint Supervisor :
5.0	Brief Report on the work carried out during the six months reference period
	to (1000 words).

Supervisorøs Signature

Candidateøs Signature

Annexure IV

#### FORMAT OF THE SYNOPSIS OF Ph.D.

(Cover Page)

Title of the Thesis (not exceeding 15 words)

Synopsis of the Thesis to be submitted to The Gandhigram Rural Institute ó Deemed University in partial fulfillment of the requirements for the award of the Doctor of Philosophy

By (Name of the candidate)



Gandhigram Rural Institute ó Deemed University Gandhigram ó 624 302 Tamil Nadu India

Month and Year

#### **GENERAL INFORMATION FOR THESIS**

Prescribed format for submission of Thesis for Ph.D programmes

#### i) Cover / Face Sheet

Title of the Thesis

(Title of the Thesis should be compact)

Thesis Synopsis

Submitted to
The Gandhigram Rural Institute ó Deemed University
in partial fulfillment of the requirements for the award of the
Degree of Doctor of Philosophy

By Name of the candidate

Gandhigram Rural Institute ó Deemed University Gandhigram ó 624 302 Tamil Nadu India

Month and Year

# ii) Certificate

Name of the Research Supervisor Designation Department / Faculty Gandhigram Rural Institute Gandhigram.

# **CERTIFICATE**

This is to	o certif	y that the	Thesis titl	led					
						is	the	bon	afide
record	of	the	original	research	work	carried		out	by
			ι	ınder my sup	ervision a	and that i	t has	not l	been
submitte	ed elsev	where for	the award	of any degree	e / diploma	a.			
Place:					Signa	ture of th	e		
Date: Sup					pervisor				

# iii) Declaration

### **DECLARATION**

Ι	decla	are	that	the	Thesis	t	itled	
		is	the	bonafide	record	of	the	
original research work carried out	t by m	e und	er the	e guidanc	e of			
, and that it has not been submitted elsewhere for the award								
of any degree / diploma.								
Place:								
Date:		S	Signat	ure of the	Candida	te		
iv) DECLARATION AGAINST PLAGIA	RISM	[						
I,	, he	ereby o	declai	e that to	the bes	t of	my	
knowledge and belief,	the	thesis	/	dis	sertation	t	itled	
<u>ő</u>				ö and	submitte	d fo	r the	
degree of Ph.D to the Gandhigram rural In	nstitute	is my	own	work to	the exten	t tha	at all	
sources have been properly acknowledged a	and the	work c	ontai	ns no plag	iarism.			
Further, I submit a soft copy (PDF format	in CD)	and a	cknov	vledge tha	at the abo	ove v	work	
may be checked for data-matching and if	found t	to be p	lagia	rized of a	ny part l	I ma	y be	
subjected to any disciplinary action inc	luding	to ei	ther	rejection	of the	wor	k or	
cancellation of the registration from the enti	_			-				
Date:					Signatur	e		

Counter Signature of the Research supervisor/Guide.

#### v) Acknowledgement

#### **ACKNOWLEDGEMENT**

The thesis shall carry an acknowledgement of not more than two pages, wherein the candidate shall thank the Supervisor, the Institute specifying the department and the Faculty, other persons / institutions who helped him/her academically and those who helped him/her non-academically in the course of the study and in the preparation of the thesis. Thanking the typist / Xerox company and others should be avoided.

Place:

Date:

Signature of the Candidate

#### vi) Contents

#### **CONTENTS**

The contents shall list the chapters of the thesis and the bibliography in their order along with the numbers of the first pages. In the case of the thesis, wherein chapters are subdivided into distinct sections, the sectional subdivisions may also be listed in their order with the numbers of their first page under each subdivision. The Appendix may be listed in their order after bibliography.

#### vii) List of Symbols & Abbreviation

The symbols and abbreviations used in the thesis wherever applicable shall be listed with their explanation / expansion.

#### viii) List of Tables

The tables shall be listed in the contents with their respective page numbers. Within the body of the thesis, the figures should have captions with explanations for the symbols used therein

#### ix) List of Figures

The figures shall be listed in the content with their respective page numbers. Within the body of the thesis the table should have self explanatory captions.

#### x) Abstract

#### **ABSTRACT**

The abstract of the Dissertation / Thesis shall not exceed two pages (a soft copy of the same in a CD should be handed over to the Office of the Controller of Examinations).

#### xi) Bibliography

#### **BIBLIOGRAPHY**

List of publications, journals, monographs, books etc.

List of publications out of this work.

#### xii) Other specifications to be followed for thesis

Paper : A4 size Bond paper (any brand)

Font size : 10 to 14

Font type : Times New Roman / Gothic Line Spacing : 1.5 space (or 2 spaces)

Top margin : 0.75 inch

Bottom margin : 1 inch (with page no. at the centre) Left

margin : 1.5 inch Right margin : 0.75 inch

#### xiii) General Conditions

I. The thesis shall be presented in A4 size paper of the bond variety because it is an important document to be preserved.

- II. The maximum number of pages of a Ph.D. thesis excluding the bibliography and the appendices (i.e. from page one to the last page of the text only of the thesis) shall not exceed 300.
- III. Xerox copies can be made from the original script. Copies of the Ph.D thesis should be with calico binding using flexible white boards.
- IV. Five copies of the Ph.D. thesis along with a CD containing the full thesis are to be submitted to the Department/Faculty concerned through the Research Supervisor. The Head of the Department will immediately forward the copies of the thesis to the Controller of Examinations.
- V. No insertion of odedication is allowed in the dissertation.

#### xiv) Format of the Synopsis

The synopsis of the thesis should be typed as specified in (xi) and should strictly follow the conditions given below:

- i) A4 size bond paper.
- ii) The synopsis must contain a minimum of 6 pages and must not exceed 10 pages.
- iii) 11 copies of the synopsis must be submitted to the Controller¢s office, through Proper Channel.
- iv) The cover must be in white board with calico binding.
- v) The title of the synopsis and the thesis should be the same.

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# THE GANDHIGRAM RURAL INSTITUTE-DEEMED UNIVERSITY

# Ph.D REGULATION From JANUARY 2017 SESSION